

TO AND TO WHOM THEBSE; PRESERVES, SHAND, COMES

UNITED STATES DEPARTMENT OF COMMERCE

**United States Patent and Trademark Office** 

December 17, 2004

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.

APPLICATION NUMBER: 60/526,842

FILING DATE: December 04, 2003

# **PRIORITY DOCUMENT**

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

WOODSON

**Certifying Officer** 

Please type a plus sign (+) inside this box	<b></b>	+
---	---------	---

PTO/SB/16 (10-01)

Approved for use through 10/31/2002: OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT 1995.

Express Mail Label No.	EL 6233083					
		<u>IN</u>	IVENTOR(S)	<del></del>	Docidon	000
Given Name (first and midd	dle [if any]) Family Name or Surname		ne (City and eit	Residence (City and either State or Foreign Country)		
Woei Wan	TAN			Singapore		
Pei	GE Singapore			ore		
Additional inventors are bei	ng named on the	e <u>1</u> separate	ely numbered sl	neets attached hereto		
	TITLE O	F THE INVI	ENTION (280 cl	naracters max)		
	THREE-PLAT	E MICRO (	CAPACITIVE PI	RESSURE SENSOR	•	5 U.
CORRESPONDENCE ADDRESS  Direct all correspondence to:						1553
□ Customer Number	27572					
OR T	ype Customer N	lumber here	•			
Firm <i>or</i> Individual Name	Harness, Dickey & Pierce, P.L.C.					
Address	P.O. Box 828					1 .
Address						
City	Bloomfield Hil	İs	State	МІ	ZIP .	48098
Country	USA		Telephone	248-641-1600	Fax	248-641-0270
	ENCLOSED	APPLICAT	TION PARTS (c.	heck all that apply)		
Specification Number	er of Pages	11		CD(s), Number		£
Drawing(s) Number of Sheets  Other (specify) Return Receipt Postcard						
Application Data Sheet. See 37 CFR 1.76 Specification Filed in English						
METHOD OF PAYMENT OF F	ILING FEES FO	R THIS PR	OVISIONAL AP	PLICATION FOR PAT	TENT (chec	k one)
Applicant claims small	entity status.	See 37 CF	R 1.27.			
A check or money orde						FILING FEE
			•			AMOUNT (\$)
The Commissioner is fees or credit any over	•		•		i	80.00
Payment by credit card		•			<u>.</u>	00.00
The invention was made by the United States Government	an agency of	**************************************		rnment or under a c	ontract wit	h an agency of
_	en.					
No.  Yes, the name of the U.S. (	Government age	ency and the	e Government c	ontract number are:	·	
Respectfully submitted, SIGNATURE	A. Who	de	PEG	Date 12-4-6	40,344	
TYPED or PRINTED NAME	Bryant E. W	/ade	(if ap	SISTRATION NO. [ Opropriate)	2500-0000	
TELEPHONE (248) 641-	1600			ket Number:	<u>~~~~</u>	10

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

# PROVISIONAL APPLICATION COVER SHEET

Additional Page

PTO/SB/16 (02-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	Docket Number	2500-000019	Type a plus sign (+) inside this box	+				
INVENTOR(S)/APPLICANT(S)								
Given Name (first and middle [if any])	Family or Surname	Residence (City and either State or Foreign Country)						
Eng Hock  Jye Siong	TAY PHANG	Singapore Singapore						
				•				
•								
			·					
•								
			•					

Number <u>2</u> of <u>2</u>

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

# Three-plate Micro Capacitive Pressure Sensor

#### 2.1 Field Of The Invention

The Invention relates to micro capacitive pressure sensors in the general field of Microelectromechanical Systems (MEMS).

### 2.2 Background Of The Invention

Pressure sensing is one of the most established areas of sensor technology [1]. One specialised application of pressure sensors is hydrostatic tank gauging (HTG) [2]. HTG is a pressure-based tank gauging system that uses a combination of pressure and temperature measurements to provide a totally automated, multiple measurement system for liquid inventory measurements. Traditional HTG installations involve disrupting the integrity of the tank wall in three or more places to mount multiple pressure and temperature sensors [3]. Each sensor is a complex combination of electrical and mechanical components. MEMS technology offers a means of eliminating the need for multiple sensors as it allows on-chip integration of pressure and temperature transducers [4].

While there is a potential for combining various sensors and signal conditioning circuit into one microelectromechanical system, silicon micromachined capacitive pressure sensor such as those described in US Patent No 6631645, 6051853, 6122973 and 6595064 are not suitable for hydrostatic measurements. The reason for this is pressure sensors for HTG systems must be able to withstand the large pressure inside the tanks and be sensitive to the relatively small pressure changes brought about by variations in the fluid head. In micro capacitive pressure devices, the flexible diaphragm serves as one electrode of a capacitor, whereas the other electrode is located on a substrate beneath it. As the diaphragm deflects in response to the applied pressure, the average gap between the electrodes changes, leading to a change in the capacitance [5]. For capacitive pressure sensors to operate in a high pressure environment, the movable plate must be thick. The trade-off introduced by the use of a thick diaphragm is low sensitivity to small changes in pressure. Consequently, a parallel plate capacitive pressure sensor would not be capable of detecting the relatively small pressure variations in a high pressure environment. The purpose of this invention is to provide a micro capacitive pressure sensor for HTG.

#### 2.3 Objects Of The Invention

The present invention is intended to achieve a pressure sensing device that is able to accurately measure small pressure variations in the presence of a large constant load.

The problem solved by the present invention is the lack of sensitivity brought when the diaphragm thickness is increased in order to withstand high pressures.

The main application is in hydrostatic tank gauging (HTG) systems. It is an emerging way to accurately gauge liquid inventory and to monitor transfers in tank farms and similar multi-tank storage facilities. Increasingly, HTG systems are also employed for storage tank leak detection. The interest in pursuing better leak detection and prevention methods is prompted by concerns for environmental protection, coupled with increasingly stringent legislation and regulation.

The pressure-capacitance relationship of the device is non-linear, but smart sensor technology can be used to compensate for non-linear behavior with minimal or no user intervention.

BEST AVAILABLE COPY

### 2.4 Summary Of The Invention

This invention is a micro capacitive pressure sensor for hydrostatic tank gauging (HTG), an emerging way to accurately gauge liquid inventory and to monitor transfers in tank farms. Since industrial storage vessels are huge, the sensing element must be able to withstand the large pressure, and yet be sensitive enough to detect the relatively small pressure changes brought about by variations in the fluid head. To achieve these apparently conflicting requirements, a novel three-plate structure is proposed

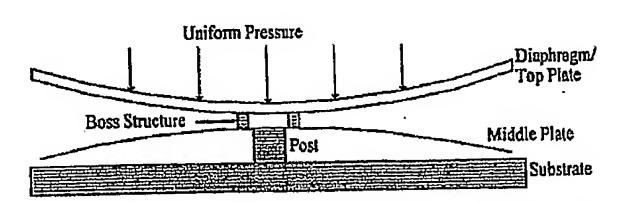


Figure 1: Schematic diagram of proposed MEMS pressure sensor.

# 2.5 Detailed Description Of The Preferred Embodiments

The micro capacitive pressure sensor for detecting small pressure changes in the presence of a large constant load comprises of three parallel plates (See Figure 1). As in typical parallel plate capacitive pressure sensors, one of the plates is the diaphragm while the second plate is the silicon substrate. In order to withstand the high pressure, the diaphragm must be thick so it will not rupture. A thin plate, referred to as the middle plate, is placed between the diaphragm and the device substrate. It is a free standing structure supported only at the centre by a post. When pressure is applied, the diaphragm will deflect. Beyond a pre-determined threshold pressure, a boss ring etched below the thick diaphragm will come into contact with the middle pate and cause it to deflect. Pressure is measured by monitoring the change in capacitance between the middle plate and the silicon substrate. Since the middle plate is a cantilever, it magnifies the small deflections in the thick diaphragm and thus enabling small changes in pressure to be detected.

The steps for fabricating the device using surface micromachining techniques are as follows:-

Step 1 : A 1000  $\mu m$  x 1000  $\mu m$  x 2.5  $\mu m$  sacrificial silicon dioxide layer is laid on a n-type silicon wafer that is coated with a 0.3 mm nitride layer. The silicon dioxide layer defines the air gap between the bottom and the middle plates. By patterning and etching the silicon dioxide layer, a 40  $\mu m$  x 40  $\mu m$  hole is formed so that the post for supporting the middle plate can be fabricated (Refer to Figure 2).

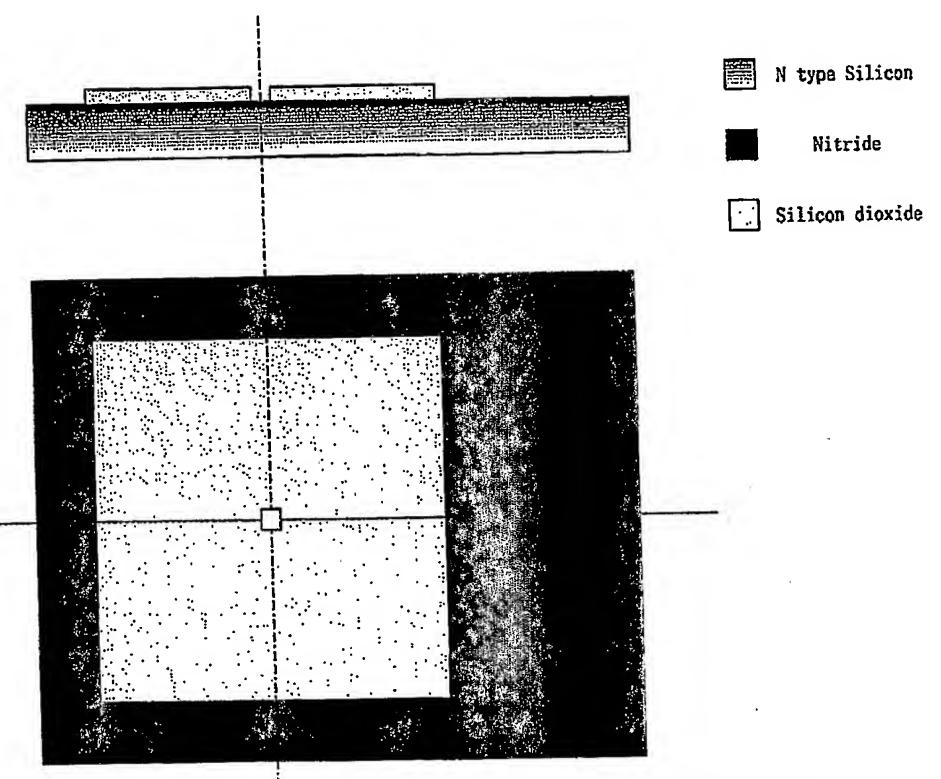


Figure 2: Deposit and pattern first silicon dioxide sacrificial layer

Step 2: A 2.0 µm thick low tensile stress polysilicon layer is deposited to form the first structural layer of the 3-plates micro capacitive pressure sensor. The center square in the diagram shown in Figure 3 is the middle plate while the lateral polysilicon encircling the center square and will form the stationary edges. Since the middle plate serves as an electrode of a parallel plate capacitor, electrical connection is provided in the form of a probe pad.

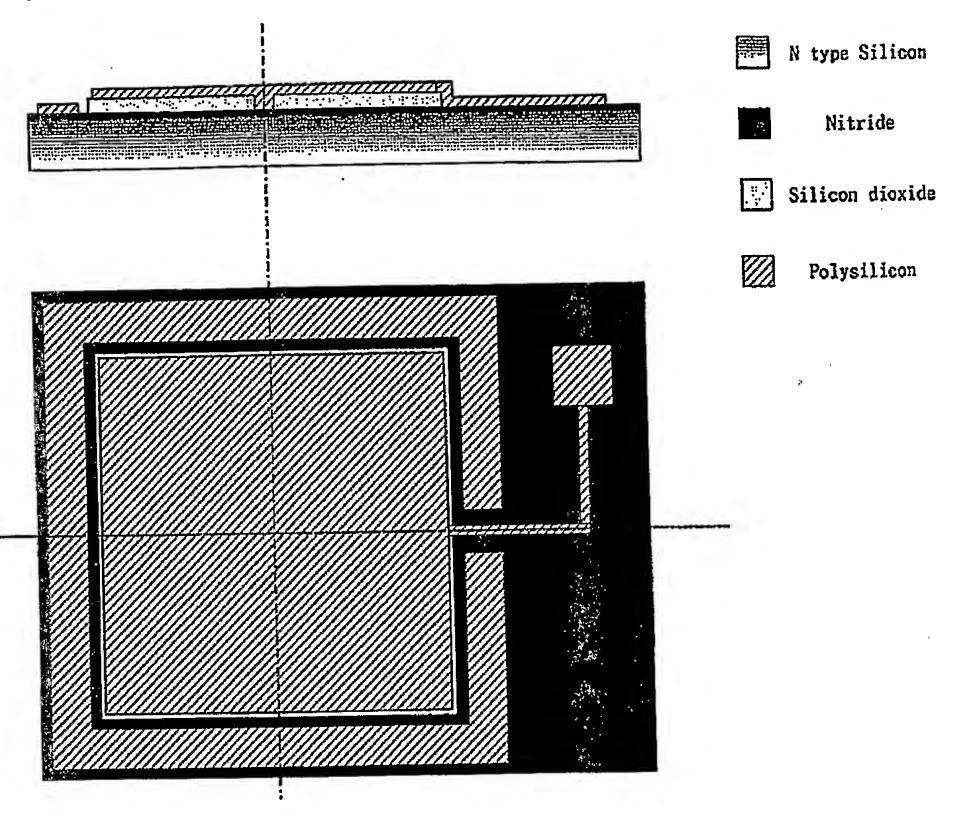


Figure 3. Poly 1 layer forms middle plate

Step 3 : A 6  $\mu$ m layer of silicon oxide is then deposited on the low stress polysilicon structural layer (Poly 1) formed in Step 2. This silicon oxide layer defines the gap between the top plate and middle plate. Using a mask to pattern and etch the second silicon oxide layer, a 1100  $\mu$ m ×1100  $\mu$ m square oxide layer that defines the size of the sealed chamber is constructed (See Figure 4).

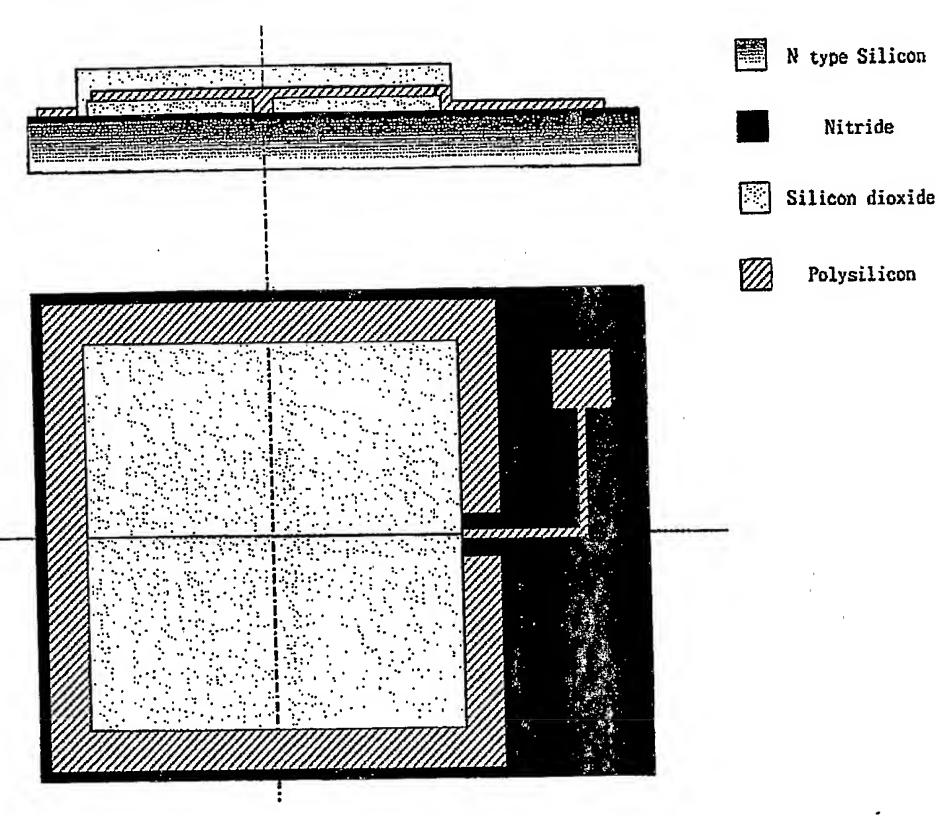


Figure 4. Second oxide layer forms the gap between the top and middle plates

Step 4: To construct the boss ring, the second silicon oxide layer is patterned. As shown in Figure 5, a square ring at the center of the oxide layer center is removed to leave behind a small indentation. The indentation is used to form the boss ring structure under the top plate. The touch point pressure can be controlled by changing the depth of the indentation.

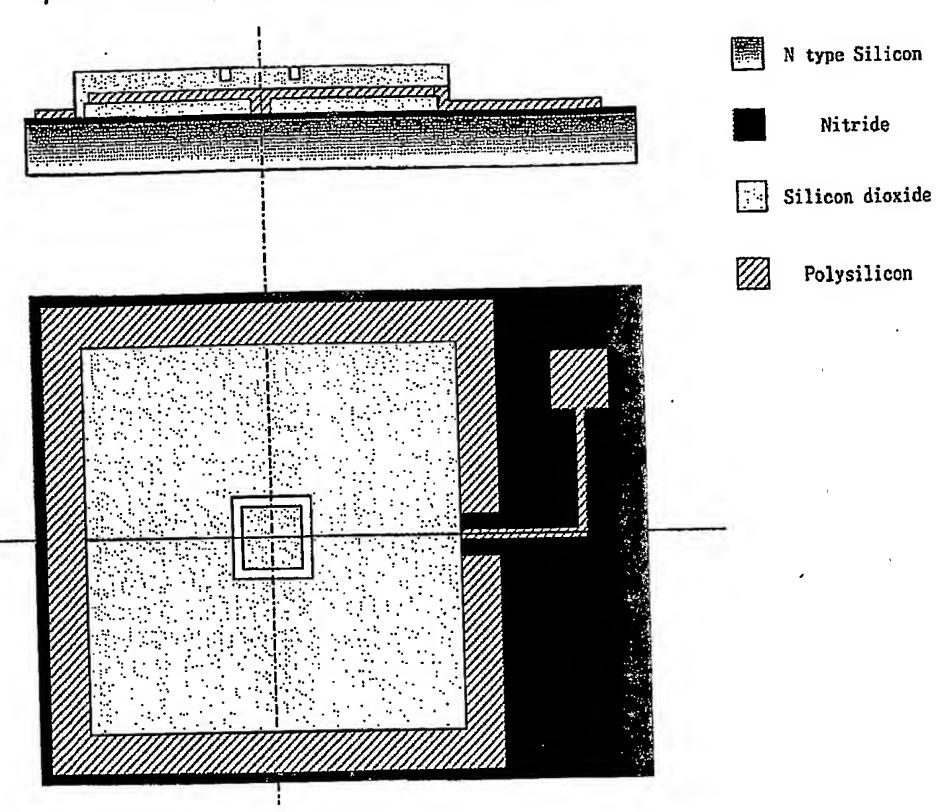


Figure 5. A square ring at the center of the second oxide layer

Step 5: A 0.3 µm layer of low stress nitride is deposited to provide an isolation layer between the top and middle plates. Since the nitride fills the indentation fabricated in Step 4, an electrical isolation will exist when the top plate comes into contact with the middle plate. Next, the nitride layer at the probe pads are etched away to produce the structure shown in Figure 6.

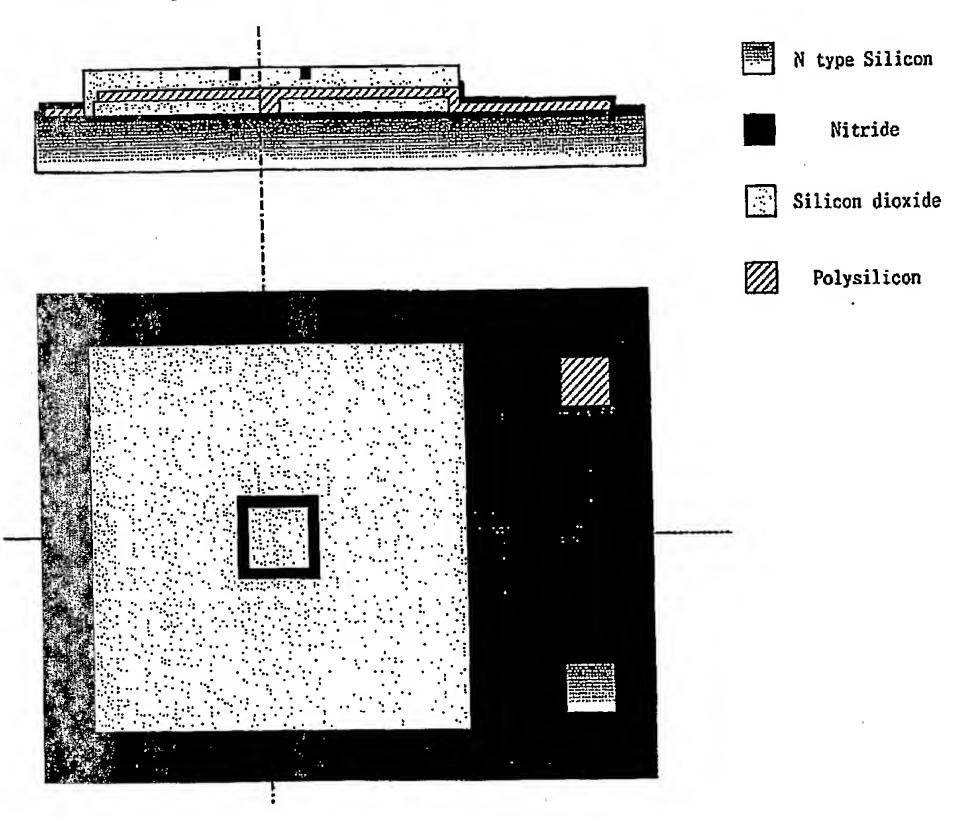


Figure 6 : A 0.3  $\mu m$  nitride layer is deposited and patterned

Step 6: As shown in Figure 7, a 20  $\mu$ m thick polysilicon layer (Poly 2) is deposited and patterned. Poly 2 is the top plate of the device which serves as the pressure sensing diaphragm. It also forms a sealed chamber between top plate and substrate.

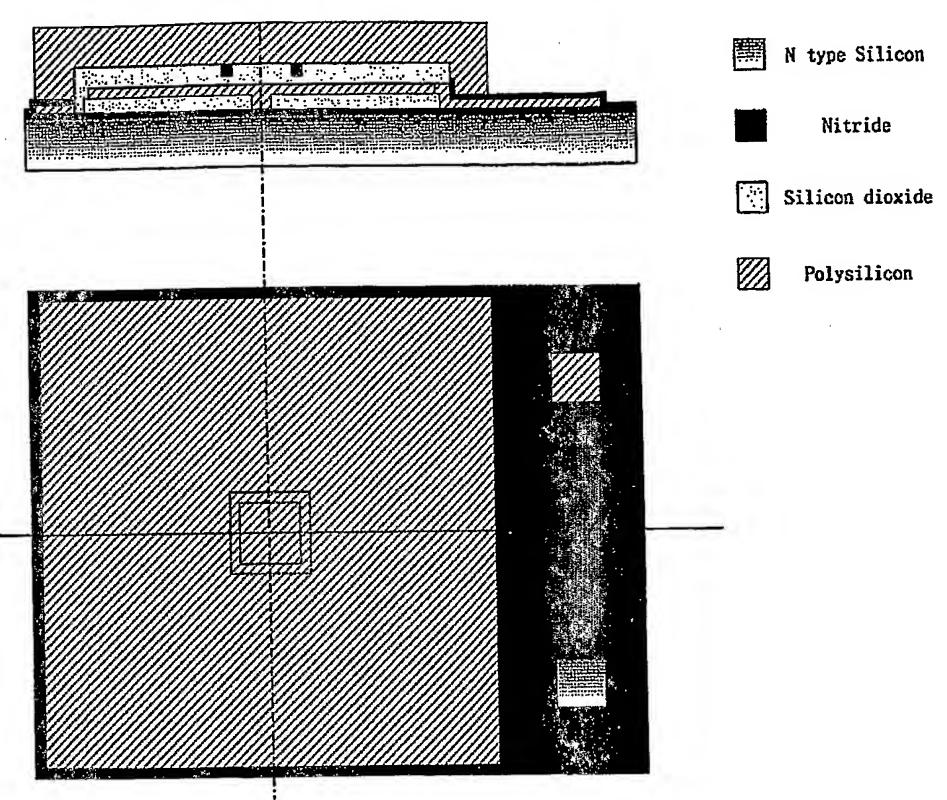


Figure 7: A second polysilicon layer forms the top plate and fixed edges

St p7: Small through holes are etched at the backside of the silicon wafer. Then, the structures are released by immersing the device in a 49% HF solution. The small holes may be used provide a means for controlling the reference pressure in the chamber.

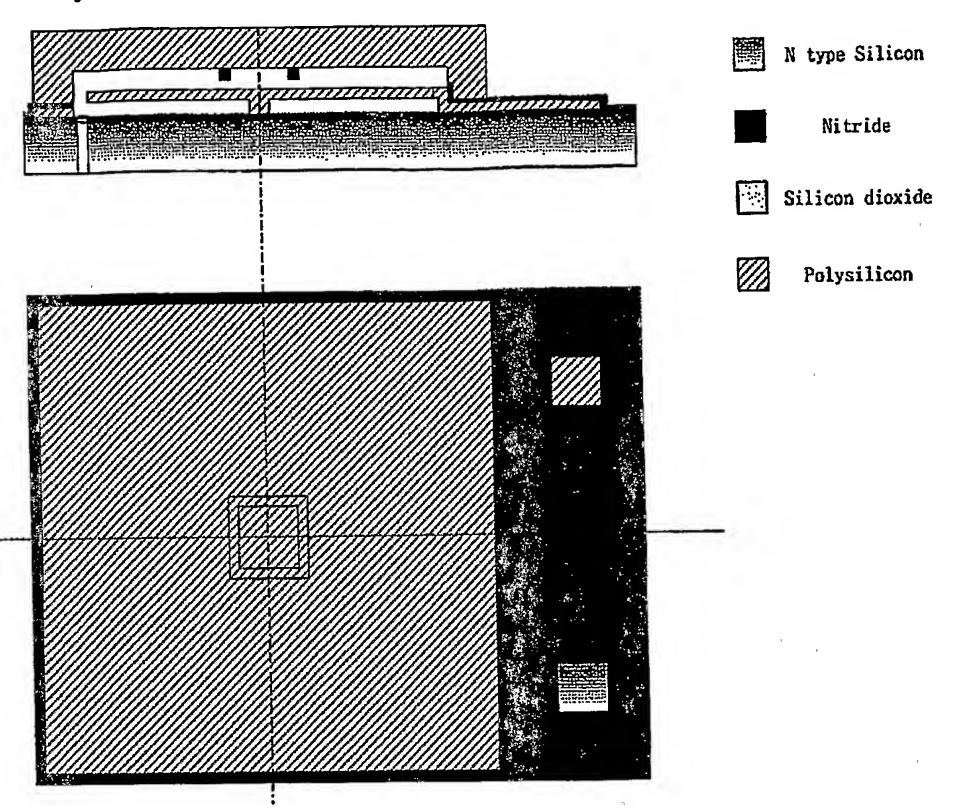


Figure 8: A small etch hole at the backside of the wafer

Step 8: Metal (Al or Au) with a thin adhesion layer is deposited by lift-off patterning. The side wall of the photoresist is sloped at a reentrant angle, which provides breaks between the metal deposited on the surfaces of probe pads and that on the photoresist. The photoresist and unwanted metal (atop the photoresist) are then removed in a solvent bath. Figure 9 shows the final structure.

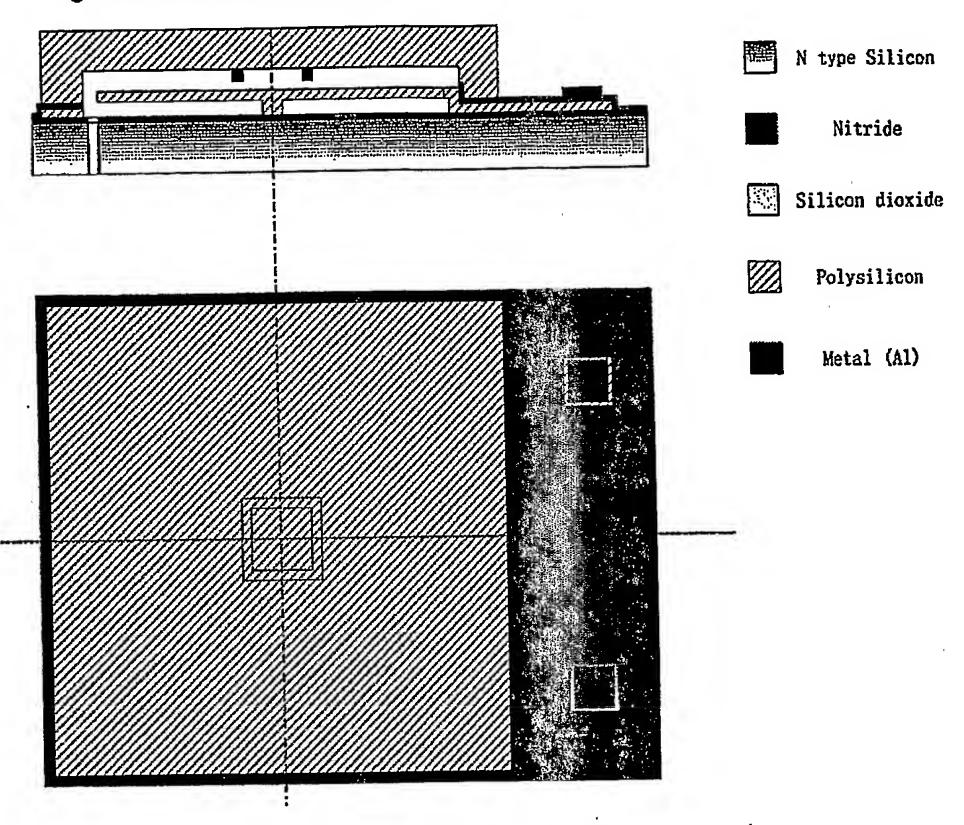


Figure 9: Metallization

# 2.6 Modifications Of The Preferred Embodiments (if any)

Another application for the invention can be in tactile sensing. Tactile sensing is essential for human beings and very useful for robot motion control. The tactile organs in humans have high precision and high resolution, and contribute to dexterous motion of the human hand. The criteria for these tactile sensors are precision, high resolution and the ability to cover narrow surfaces [5]. Binary switch-type tactile sensors are generally used in robotic applications because of their simplicity and robustness. However, it is impossible for such types of sensors to sense the magnitude of the contact force, since a binary switch sensor has only one pressure threshold. The invention may be used for tactile sensor in humanoid robots or artificial limbs because it provides high sensitivity around a pre-determined threshold value.

#### 2.7 References and Prior Art

- List of references cited in this write-up.
  - [1] C. Ajluni C, Low-pressure sensor opens wide applications frontier, Electronic Design, Vol. 44, pp. 59-64, 1996.
  - [2] G. Vass, "The Principles of Level Measurement", Sensors, Vol. 17, pp. 55-64, 2000.
  - [3] J.G. Webster, "The measurement, instrumentation, and sensors handbook", CRC Press, 1998.
  - [4] K. Kasten, J. Amelung, W. Mokwa, "CMOS-compatible capacitive high temperature pressure sensors", Sensors and Actuators, Vol. 85, pp. 147-152, 2000.
  - [5] M. Gad-el-Hak, "The MEMS handbook", CRC Press, 2001.
  - [6] R. Tajima, S. Kagami, M. Inaba and H. Inoue, "Development of soft and distributed tactile sensors and the application to a humanoid robot", *Advanced Robotics*, Vol. 16, No. 4, pp. 381–397, 2002.
- List of prior art searches(patents and non-patent literature) relevant to the invention.
  - [1] US Patent No 6631645, "Semiconductor pressure sensor utilizing capacitance change", 2003
  - [2] US Patent No 6051853, "Semiconductor pressure sensor including reference capacitor on the same substrate", 2000.
  - [3] US Patent No 6122973, "Electrostatic capacity-type pressure sensor with reduced variation in reference capacitance", 2000.
  - [4] US Patent No 6595064, "Capacitive pressure sensor", 2003

Patents related to HTG but sensing method is not the same as our invention

- [5] US Patent No. 4,335,608 "Submersible pressure transducer device", 1982.
- [6] US Patent No. 4,804,944 "Hall effect liquid level sensing apparatus and method" 1989.
- [7] US Patent No 5,115,679 "Level measuring bubbler tube tip", 1992.
- [8] US Patent No 5,309,764 "Tank gauging system", 1994.

## INVENTOR INFORMATION

Inventor One Given Name:: Woei Wan

Family Name:: TAN

Postal Address Line One:: 991 Bukit Timah Road, #03-20

City:: Singapore
Country:: Singapore

Postal or Zip Code:: 589630 Country of Residence:: Singapore Citizenship Country:: Singapore Inventor Two Given Name:: Pei

Family Name:: GE

Postal Address Line One:: 134B Hong Leong Garden

Postal Address Line Two:: Shopping Centre, West Cost Way

City:: Singapore Country:: Singapore

Postal or Zip Code:: 127064

Country of Residence:: Singapore

Citizenship Country:: China

Inventor Three Given Name:: Eng Hock, Francis

Family Name:: TAY

Postal Address Line One:: 12 Kent Ridge Drive, #E100

Postal Address Line Two:: Temasek Hall NUS

City:: Singapore
Country:: Singapore

Postal or Zip Code:: 119243

Country of Residence:: Singapore Citizenship Country:: Singapore

Inventor Four Given Name:: Jye Siong

Family Name:: PHANG

Postal Address Line One:: Blk 825, Woodlands St 81

Postal Address Line Two:: #10-42

City:: Singapore Country:: Singapore

Postal or Zip Code:: 730825

Country of Residence:: Singapore Citizenship Country:: Malaysia

#### CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 27572

Fax One:: (248) 641-0270

Electronic Mail One:: bewade@hdp.com

#### APPLICATION INFORMATION

Title Line One:: THREE-PLATE MICRO CAPACITIVE PRESSURE SE

Title Line Two:: NSOR Formal Drawings?:: No

Application Type:: Provisional Docket Number:: 2500-000019

Secrecy Order in Parent Appl.?:: No

REPRESENTATIVE INFORMATION

Representative Customer Number:: 27572

Source:: PrintEFS Version 1.0.1